

## Message Text

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PAGE 01 STATE 086695  
ORIGIN COME-00

INFO OCT-01 CIAE-00 DODE-00 EB-08 NSAE-00 ICA-20  
TRSE-00 EUR-12 DOE-15 ISO-00 SOE-02 /058 R

DRAFTED BY COM/OEA/AMINTHORNE  
APPROVED BY STATE/AREICHENBACH/EWT  
COM/OEA/JDYTRT  
EOM/OEA/EALINSKY  
COMM/OEA/CD - RMC GEEHAN (INFO)  
-----111626 042327Z /17

P 042239Z APR 78  
FM SECSTATE WASHDC  
TO AMEMBASSY VIENNA PRIORITY

UNCLAS STATE 086695

EXCON

E.O. 11652: N/A

TAGS: ESTC, AU

SUBJECT: LICENSING REQUEST BY SIEMENS, AUSTRIA

REF: VIENNA 2672

1. THIS IS IN RESPONSE TO REQUEST BY SIEMENS BAUELEMENTE, OHG., 8530 DEUTSCHLANDSBERG, UNTERLAUFFENEGGERSTRASSE 125, FOR EXPORT LICENSING REQUIREMENTS FOR EXPORT OF MACHINERY FOR FABRICATION OF CERAMIC MULTILAYER CAPACITORS TO AUSTRIA. MACHINERY UNDERSTOOD TO BE FOR FORMING, METALLIZING, PRESSING AND CUTTING CERAMIC MATERIAL. APPARENTLY U.S. FIRM OF CLADAN, INC., 11404G SORRENTO VALLEY ROAD, SAN DIEGO, CA. WILL SUPPLY MACHINERY.

2. MAJORITY OF MACHINERY ITEMS USED IN U.S. MANUFACTURE OF  
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CERAMIC CAPACITORS MAY BE EXPORTED TO AUSTRIA GENERAL LICENSE G-DEST AUTHORIZATION AS DESCRIBED IN PART 371.3 OF EXPORT ADMINISTRATION REGULATIONS. WITHOUT SPECIFICATIONS OF THE MAHINERY THE PRECISE VALIDATED EXPORT LICENSE REQUIREMENTS CANNOT BE IDENTIFIED; HOWEVER, THE FOLLOWING IS NOTED: THE CERAMIC FORMING AND PRESSING EQUIPMENT WOULD BE CLASSIFIED UNDER THE EXPORT COMMODITY CONTROL NO.

(ECCN) 6399G.

3. THE METALLIZATION, CUTTING AND QUALITY CONTROL OPERATIONS MAY CONTAIN MACHINES CLASSIFIED UNDER ECCN 1204, 1355, 1511 OR 4529 AND WOULD REQUIRE A VALIDATED LICENSE FOR EXPORT. OEA SUGGESTS THAT AN EQUIPMENT LIST WITH MACHINE SPECIFICATIONS BE SENT TO THE DEPARTMENT FOR PROPER CLASSIFICATION.

4. IF ANY ONE OR SEVERAL MACHINES OF INTEREST REQUIRE A VALIDATED EXPORT LICENSE, SUCH LICENSE WOULD MOST LIKELY BE ISSUED WITHIN 14 DAYS AFTER RECEIPT OF AN APPLICATION PROVIDING THE NECESSARY DOCUMENTATION IS IN ORDER.

5. PRESUMING THAT TECHNICAL DATA WILL ALSO BE INCLUDED IN THE TRANSACTION, THE U.S. EXPORTER SHOULD UNDERSTAND THAT COMPANY PROPRIETARY DATA PROVIDING THE KNOW-HOW TO PROCESS THE CERAMIC CHIP CAPACITORS OR THE KNOW-HOW TO MANUFACTURE ANY OF THE EQUIPMENT MAY BE EXPORTED TO AUSTRIA UNDER GENERAL LICENSE GTDR IN ACCORDANCE WITH THE EAR PART 379.4 ONLY WHEN THE U.S. EXPORTER HAS RECEIVED WRITTEN ASSURANCE FROM THE FOREIGN IMPORTER THAT UNLESS PRIOR AUTHORIZATION FROM OEA, THE IMPORTER WILL NOT KNOWINGLY REEXPORT, DIRECTLY OR INDIRECTLY, TO COUNTRY GROUPS Q,W,Y,S AND Z THE TECHNICAL DATA OR THE DIRECT PRODUCT OF THE TECHNICAL DATA. IT IS SUGGESTED THAT THE PROPOSED U.S. EXPORTER

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CONTACT THE OFFICE OF EXPORT ADMINISTRATION, U.S. DEPARTMENT OF COMMERCE, WASHINGTON, D.C. FOR THE DETAILS OF TECHNICAL DATA EXPORTS. VANCE

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NNN

## Message Attributes

**Automatic Decaptioning:** X  
**Capture Date:** 01 jan 1994  
**Channel Indicators:** n/a  
**Current Classification:** UNCLASSIFIED  
**Concepts:** STRATEGIC TRADE CONTROLS, IMPORT LICENSES  
**Control Number:** n/a  
**Copy:** SINGLE  
**Draft Date:** 04 apr 1978  
**Decaption Date:** 01 jan 1960  
**Decaption Note:**  
**Disposition Action:** n/a  
**Disposition Approved on Date:**  
**Disposition Case Number:** n/a  
**Disposition Comment:**  
**Disposition Date:** 01 jan 1960  
**Disposition Event:**  
**Disposition History:** n/a  
**Disposition Reason:**  
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**Document Source:** CORE  
**Document Unique ID:** 00  
**Drafter:** OEA/AMINTHORNE  
**Enclosure:** n/a  
**Executive Order:** N/A  
**Errors:** N/A  
**Expiration:**  
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**Litigation History:**  
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**Office:** ORIGIN COME  
**Original Classification:** UNCLASSIFIED  
**Original Handling Restrictions:** n/a  
**Original Previous Classification:** n/a  
**Original Previous Handling Restrictions:** n/a  
**Page Count:** 2  
**Previous Channel Indicators:** n/a  
**Previous Classification:** n/a  
**Previous Handling Restrictions:** n/a  
**Reference:** 78 VIENNA 2672  
**Retention:** 0  
**Review Action:** RELEASED, APPROVED  
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**Review Date:** 16 mar 2005  
**Review Event:**  
**Review Exemptions:** n/a  
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**Review Release Date:** n/a  
**Review Release Event:** n/a  
**Review Transfer Date:**  
**Review Withdrawn Fields:** n/a  
**SAS ID:** 3077007  
**Secure:** OPEN  
**Status:** NATIVE  
**Subject:** LICENSING REQUEST BY SIEMENS, AUSTRIA  
**TAGS:** ESTC, AU, US  
**To:** VIENNA  
**Type:** TE  
**vdkgvwkey:** odb://SAS/SAS.dbo.SAS\_Docs/04137db6-c288-dd11-92da-001cc4696bcc  
**Review Markings:**  
Sheryl P. Walter  
Declassified/Released  
US Department of State  
EO Systematic Review  
20 Mar 2014  
**Markings:** Sheryl P. Walter Declassified/Released US Department of State EO Systematic Review 20 Mar 2014